

Docket No. BC-0112-P04

FAX RECEIVED

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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

GRRUP 3700

In re Application of: STEELE, Chad; et al.)
Serial No.: 09/940955)
Filed: 08/27/2001)
For: EXTRACORPOREAL BLOOD PROCESSING)
METHODS AND APPARATUS)

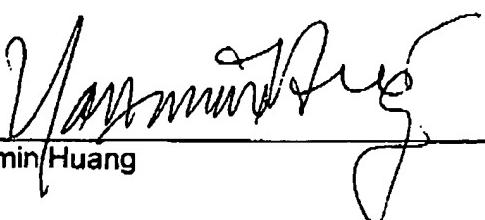
Examiner: Not yet assigned
Group Art Unit: 3762

CERTIFICATE OF FACSIMILE

I hereby certify that the following items are being facsimile transmitted to the United States Patent Office

Fax No: 703-872-9302, on this date of 12/17/2001

1. Transmittal Form (1 page)
2. Fee Transmittal for FY2002 with Deposit Account No. (1 page)
3. Information Disclosure Statement (2 pages)
4. PTO Form 892 (1 page)
5. PTO Form 1449 (5 pages)



Yanmin Huang

Duplicates

PTO/SB/17 (XX-XX)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$0.00

Complete if Known

Application Number	09/940955
Filing Date	08/27/2001
First Named Inventor	STEELE, Chad; et al.
Examiner Name	Not yet assigned
Group Art Unit	3762
Attorney Docket No.	BC-0112-P04

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-2316**

Deposit Account Name **Gambro, Inc.**

Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

Applicant claims small entity status. See 37 CFR § 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	208	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					

2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims <input type="checkbox"/>	-10** = 0	X 0.00 = 0.00
Independent Claims <input type="checkbox"/>	-3*** = 0	X 0.00 = 0.00
Multiple Dependent <input type="checkbox"/>		= 0.00

Large Entity	Small Entity	Fee Description		
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		\$0.00		

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non - English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,640*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	960	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	480	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR § 1.17(q)	
126	180	128	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

SUBMITTED BY

Complete if applicable

Name (Print/Type)	Peter B. Scott	Registration No. (Attorney/Agent)	37,932	Telephone	303-205-2560
Signature	<i>Peter B. Scott</i>			Date	<i>December 17, 2001</i>

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

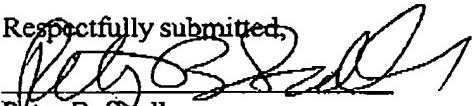
Atty Ref. No: BC-0112-P04

documents alone or in combination, are considered to be material to patentability as defined under 37 C.F.R. § 1.56(b).

Again, it is believed no fee is required in connection with the filing of this statement. If this is incorrect, please charge deposit account 03-2316.

December 17, 2001
Date

Respectfully submitted,


Peter B. Scull
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